

JOHN A. LAHIVE, JR. (1928-1997) THOMAS V. SMURZYNSKI RALPH A. LOREN GIULIO A. DeCONTI, JR. ANN LAMPORT HAMMITTE ELIZABETH A. HANLEY AMY BAKER MANDRAGOURAS ANTHONY A. LAURENTANO KEVIN J. CANNING JANE E. REMILLARD DeANN FORAN SMITH PÉTER C. LAURO JEANNE M. DIGIORGIO DEBRA J. MILASINCIC. Ph.D. NICHOLAS P. TRIANO. III DAVID J'RIKKERS DAVID R. BURNS JOHN S. CURRAN

SEAN D. DETWEILER CYNTHIA L. KANIK, Ph.D. MEGAN E. WILLIAMS, Ph.D. RICHA NAND MICHAEL PHILLIPPS * LISA M. DIROCCO

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL JEREMIAH LYNCH WILLIAM A. SCOFIELD, JR.

PATENT AGENTS
PETER S. STECHER
THEODORE R. WEST
SHAYNE Y. HUFF, Ph.D.

TECHNICAL SPECIALISTS
MARIA LACCOTRIPE ZACHARARIS, Ph.D.
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
EUIHOON LEE

JENNIFER K. ROSENFIELD
JUDITH STONE-HULSLANDER, Ph.D.
ALLAN TAMESHTIIT, Ph.D.
CATHERINE E. MCPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
ASHITA DOSHI, Ph.D.
JACOB G. WEINTRAUB

Admitted in NY only
 Passed the Patent Bar Examination

RECEIVED OCT 11 2001 TECH CENTER 1600/29

October 2, 2001

BOX SEQUENCE

Commissioner for Patents Washington, D.C. 20231

lc@lahive.com

Re:

U.S. Patent Application Serial No. 09/854,204

Applicants: Peter Martin Fischer and Nikolai Zhelev

Filed: May 11, 2001

Title: "Transport Vectors"

Attorney Docket No.: CCI-010DV

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Preliminary Amendment and Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (36 pages);
- 2. A Paper Copy of Sequence Listing (29 pages);
- 3. Request for One-Month Extension of Time (in duplicate);
- 4. Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (1 page);
- 5. Check for \$55.00 (extension fee); and
- 6. Return-Receipt Postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Sequence Parts, Washington, DC 20231 on:

October 2, 2001

Date

Cynthia L. Kanik, Ph.D., Reg. No. 37,320

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Cynthia L. Kanik, Ph.D.

Reg. No. 37,320



00959

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER FILI

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/854,204

LAHIVE & COCKFIELD

28 STATE STREET

BOSTON, MA 02109

05/11/2001

Peter Martin Fischer

CCI-010DV

CONFIRMATION NO. 8487

FORMALITIES LETTER

OC000000006360738

Date Mailed: 07/30/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE